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Attorney Docket No. 05679/LH

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): Yasuaki NAGASHIMA et al.  
Serial No. : 10/553,161  
Filed : October 14, 2005  
For : SEMICONDUCTOR LASER CAPABLE  
OF COUPLING WITH SINGLE MODE  
OPTICAL FIBER AT HIGH  
COUPLING EFFICIENCY  
Customer No.: 01933  
Art Unit : 2828  
Examiner : Not Yet Assigned  
Confirm. No.: 9067

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TOTAL PAGES: 9

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Diane J. Hegatton

Dated: December 1, 2006

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**INFORMATION DISCLOSURE STATEMENT  
WITH STATEMENT UNDER 37 CFR 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

Submitted herewith is a copy of the International Preliminary Report on Patentability (IPRP) and Written Opinion, dated September 28, 2006, which was issued in an International Application on which the present application is based. The International Search Report was submitted with the prior IDS filed October 14, 2005. Said IPRP is identified on the enclosed Form PTO/SB/08B.

The documents identified and discussed in the enclosed IPRP and Written Opinion were submitted to the USPTO with the prior IDS filed October 14, 2005. The English language IPRP and Written Opinion constitute an explanation of relevance of non-English documents identified therein (MPEP 609.04(a) III).

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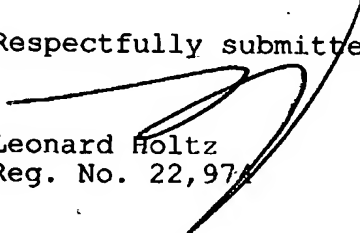
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STATEMENT UNDER 37 CFR 1.97(e) (1)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from foreign patent offices in counterpart foreign applications not more than three months prior to the filing of the present Information Disclosure Statement. Said Communication bears a mailing date of September 28, 2006. Therefore, the filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1.97(e) and does not require a fee.

It is respectfully requested that the Examiner consider the present IDS and the prior IDS filed October 14, 2005, and that an initialed copy of the Forms PTO/SB/08A and PTO/SB/08B be returned to indicate that the documents listed therein have been considered and made of record.

Respectfully submitted,

  
Leonard Holtz  
Reg. No. 22,974

Dated: December 1, 2006

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PTO/SB/08B (08-00)

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<b>Substitute for Form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Application Number</b>		10/553,161	
				<b>Filing Date</b>		October 14, 2005	
				<b>First Named Inventor</b>		Yasuaki NAGASHIMA	
				<b>Group Art Unit</b>		2828	
				<b>Examiner Name</b>		Not Yet Assigned	
<b>Sheet</b>	1	<b>of</b>	1	<b>Attorney Docket Number</b>		05679/LH	
<b>OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS</b>							
<b>Examiner Initials<sup>1</sup></b>	<b>Cite No.<sup>1</sup></b>	<b>Include name of author (in CAPITAL LETTERS), title of article, title of item, date, page(s), volume-issue number(s), publisher, city and/or country where published</b>					<b>T<sup>2</sup></b>
		Copy of International Preliminary Report on Patentability, Chapter I of the Patent Cooperation Treaty, and Written Opinion, dated September 28, 2006, for PCT/JP2005/004556, 6 sheets.					
<b>Examiner Signature</b>					<b>Date Considered</b>		

\* **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Place a check here if English translation is attached.

DATE MAILED: December 1, 2006